

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
DC4969

In Re Application Of: **Bartley Dean Maxon and Michael Stephen Starch**

Serial No.
Not Assigned

Filing Date
Not Assigned

Examiner
Not Assigned

Group Art Unit
Not Assigned

Title: **STABILIZATION OF VITAMINS IN WATER-IN-SILICONE OIL (W/O) EMULSIONS**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

JC781 U.S. PTO
10/02/98
12/19/01

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
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I certify that this document and fee is being deposited December 19, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Cheryl A. Hill
Signature of Person Mailing Correspondence
Cheryl A. Hill

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Jim L. DeCesare
Signature

Dated: 12-18-01

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